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<u>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</u>

Application Number

10/693,604

Confirmation No.:

6463

Applicant

Richard ASSAKER et al.

Filed

October 27, 2003

Title

ANTERIOR IMPLANT FOR THE SPINE

TC/Art Unit

: 3731

:

Examiner:

David Owen REIP

Docket No.

64118.000033

Customer No.

21967

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants are submitting herewith copies of the references listed on the attached Form PTO-SB/08A for consideration and to be made of record herein by the U.S. Patent and Trademark Office in the above-captioned application.

Consideration of the foregoing plus the prompt return of a copy of the enclosed Form PTO/SB/08A with the Examiner's initials in the left column in accordance with MPEP 609 are respectfully requested.

Applicants' submission of this Information Disclosure Statement shall not be construed as an admission that the cited references are prior art to the present invention, or that the cited references qualify as printed publications. The submission of this Information Disclosure Statement also shall not be construed as a representation that a prior art search has been performed.

In accordance with 37 C.F.R. § 1.97(b), this Information Disclosure Statement is being submitted prior to a first Office Action on the merits. Therefore, it is believed that no fee is required for consideration of this information. However, in the event any fee is deemed

necessary, the Commissioner is authorized to charge the undersigned's Deposit Account No. 50-0206.

Respectfully submitted,

HUNTON & WILLIAMS LLP

Dated: JUNE 8, 2005

By:

Tyler Maddry

Registration No. 40,074

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PTO/SB/08A (10/01) (modified) U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Substitute for form 1449A/PTO **Application Number** 10/693.604 Filing Date 10/27/2003 INFORMATION DISCLOSURE **First Named Inventor** Richard Assaker STATEMENT BY APPLICANT JUN 0 8 X005 Art Unit 3731 (use as many sheets as necessary) Confirmation No. 6463 **Examiner Name David Owen REIP** Sheet 1 of 2 **Attorney Docket Number** 64118.000033 **U.S. PATENT DOCUMENTS** Pages, Columns, Lines, Cite DOCUMENT NUMBER **Publication Date** Name of Patentee or Where Relevant Passages or Initials No. Number - Kind Code (if known) MM-DD-YYYY Applicant of Cited Document Relevant Figures Appear FOREIGN PATENT DOCUMENTS FOREIGN PATENT DOCUMENT Country Number - Kind Code Pages, Columns, Lines, TRANSI ATION Cite **Publication Date** Code: (if known) Name of Patentee or Where Relevant Passages Initial No. MM-DD-YYYY Applicant of Cited Document or Relevant Figures Appear YES NO WO 94/17744 08-18-1994 Lowerv et al. OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Cite item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue Initials Nο. number(s), publisher, city and/or country where published TRANSLATION Denis J. DiAngelo et al., "Cervical Plating Systems Reverse Load Transfer Through Multi-Level Strut-Grafts," North American Congress on Biomechanics, University of Waterloo, Waterloo, Ontario, Canada, 3 pages (August 14-18, 1998). 510(k) Summary of Safety and Effectiveness in Accordance with SMDA of 1990 Aesculap ABC Cervical Plating System, U.S. Food and Drug Administration, 6 pages, Submitted December 15, 1997. Peak Fixation System, Polyaxial Cervical Plate, 20 pages, © 1998 DePuy Motech, Inc. Kevin T. Foley et al., Abstract of "Anterior Cervical Plating Reverses Load Transfer Through Multi-Level Strut-Grafts," Congress of Neurological Surgeons, Seattle Washington 1998.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449A/PTO

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Sheet

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Application Number	10/693,604
Filing Date	10/27/2003
First Named Inventor	Richard Assaker
Art Unit	3731
Confirmation No.	6463
Examiner Name	David Owen REIP
Attorney Docket Number	64118.000033

OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS

*Examiner Cite Initials No.		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		TRANSLATION	
		Order on (1) Cross-Motions for Partial Summary Judgment re: Invalidity of the '602 Patent; (2) Plaintiff's Motion for Partial Summary Judgment re: Infringement of the '602 Patent, Cross Medical Products, Inc. v. Medtronic Sofamor Danek, Inc., et al., Case No. SA CV 03-110-GLT (ANx) (C.D. Cal. filed February 4, 2003).			
		Order on (1) Cross-Motions for Partial Summary Judgment re: Infringement of the '786 Patent; (2) Cross-Motions for Partial Summary Judgment re: Invalidity and Unenforceability of the '786 Patent, Cross Medical Products, Inc. v. Medtronic Sofamor Danek, Inc., et al., Case No. SA CV 03-110-GLT (ANx) (C.D. Cal. filed February 4, 2003).			

EXAMINER SIGNATURE

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.